HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT	FORM A For use by Members, officers, and employees	

LEGISLATIVE RESOURCE CENTER
2011 MAY 13 PM 1:41
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
MC

Robert E. Andrews	(856) 546-5100
(Full Name)	(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ, District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☐	☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☑	☐
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Robert E. Andrews

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Rutgers Law School	Spouse Wages/Compensation	N/A
Context Capital Partners	Spouse Wages/Compensation	N/A
AYCO Charitable Foundation, New York	Spouse Wages/Compensation	N/A
Hill International	Spouse Wages/Compensation	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert E. Andrews

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	**Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	**Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Citizens Bank of Pennsylvania Checking Account	$15,001 - $50,000	INTEREST	$1 - $200	
	Hill International Stock	$50,001 - $100,000	DIVIDENDS	NONE	
SP	Met Life Defined Contribution Plan, Hartford, CT (through Rutgers University ABP 401A Plan)	$250,001 - $500,000	None	NONE	
SP	Morgan Stanley Retirement Money Market	$1,001 - $15,000	None	NONE	

Name Robert E. Andrews

	Olive B. Andrews Testamentary Trust (50%) remainder interest in Life Estate. NOTE: this trust was not created by the reported Individual and the reporting individual has no specific knowledge of the holdings of the trust.	$15,001 - $50,000	None (remainder interest)	NONE	
JT	PNC National Bank Checking	$15,001 - $50,000	INTEREST	$1 - $200	
SP	Stock-PEPCO Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	TD Bank Checking Account (joint account with Phyllis M. Wolf, Member's mother-in-law)	$1,001 - $15,000	INTEREST	$1 - $200	
JT	The Hershey Company	$1 - $1,000	DIVIDENDS	$1 - $200	
	Verizon Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE V - LIABILITIES

Name Robert E. Andrews

Report liabilities of over $10,000 owed to any one creditor at eny time during the reporting period by you, your apouse, or dependent child. Merk the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it ia rented out); loans secured by automobiles, household furniture, or epplIences; end liabilities owed to e spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (I.e., credit cards) only if the balance at the close of the preceding calender year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability incurred	Type of Liability	Amount of Liability
	American Express PO Box, 1270, Newark, NJ, 07101-1270	December 2010	Credit Card	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

MC

Christopher H. Smith	202-225-3765
(Full Name)	(Daytime Telephone)

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ, District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Christopher H. Smith

List the source, type, and amount of earned income from any source (other than the filer'e current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the eource and amount of any honoraria; llst only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Life Issues Institute, Inc.	Spouse Salary 1/1/10-2/28/10	N/A
Gospel of Life Ministries	Spouse Salary 3/1/10 - 12/31/10	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher H. Smith

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
ING Direct PO Box 60, St. Cloud MN 56302	$368	INTEREST	$753	P,S
Vanguard Brokerage IRA PO Box 2600 Valley Forge, PA 19482	None	CAPITAL GAINS	$863	P,S
Vanguard Money market Fund (IRA) Inherited	$45,599	DIVIDENDS	$27	

SCHEDULE IV - TRANSACTIONS

Name Christopher H. Smith

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
JT	ING Direct PO Box 60 St. Cloud, MN 56302	P	No	2/23/10	$10,000
JT	ING Direct PO Box 60 St. Cloud, MN 56302	S	No	6/1/10	$17,000
JT	ING Direct PO Box 60 St. Cloud, MN 56302	S	No	9/7/10	$90,000
JT	Vanguard Brokerage (IRA inherited) 50 Shares SPDR Gold Trust	P	No	8/17/10	$5,998
JT	Vanguard Brokerage (IRA inherited) 50 Shares SPDR Gold Trust	S	Yes	12/29/10	$6,861
JT	Vanguard MoneyMarket Fund (IRA, Inherited) PO Box 2600 Valley Fore, PA 19482	S	No	9/7/10	$2,500

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Christopher H. Smith

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Roman Catholic Archdiocese of Seoul, South Korea	Feb. 15-20	DC-Seoul-DC	Y	Y	Y	0 Days

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT	Form A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 3:06

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Name: Scott Garrett **Daytime Telephone:** 202-225-4465

Filer Status	[X] Member of the U.S. House of Representatives — State: NJ District: 5	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 16, 2011)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No		Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[ ]	[✓]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	[ ]	[✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	[✓]	[ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[✓]	[ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[ ]	[✓]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	[✓]	[ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[✓]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	[ ]	[✓]

Name Scott Garrett | Page ____ of ____

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A

Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For **all IRAs** and other retirement plans (such as 401(k) plans) that are self-directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other real property held for investment, provide a complete address.

For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.

Exclude: Your personal residence, including second homes and vacation homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B

Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C

Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if the asset generated no income during the reporting period.

BLOCK D

Amount of Income

For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, interest, and capital gains, even if reinvested, must be disclosed as income.** Check "None" if no income was earned or generated.

BLOCK E

Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	*Examples:* Mega Corp. Stock					X									X			X						X								S (partial)
	Examples: Simon & Schuster	Indefinite																		Royalties									X			
	Examples: 1st Bank of Paducah, KY Accounts						X									X										X						
	Dreyfus Appreciation Fund					X									X			X					X									S (partial)
	" Basic S&P "					X									X			X					X									
	" Disciplined Stock "					X									X			X					X									
	Lake Land Bank (Checking)			X													X					X										
	" " " (Stock)				X										X								X									

For additional assets and unearned income, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Scott Garrett **Page** ___ **of** ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Travelers Annuity (Now Met Life)				X									X							X											
	Principal Mid Cap Growth Fund	X												X							X											S
	Nobel Bank (Checking) (Now Highland Bank)			X													X					X										
	Nobel Bank (Savings) (Now Highland Bank)			X													X						X									
	Dreyfus Money Market		X												X							X										
	USNG Fund			X										X							X											
	Fidelty Levr Co. Stock			X														X						X								P
	Vangard Expir Inv.			X														X						X								P

This page may be copied if more space is required.

Neme Scott Garrett	Pege ____ of ____

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment thet exceeded $1,000. Include transactions that resulted in a capital loss. Provide e brief description of eny exchange trensaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sele of your personel residence, unless it generates rental income. **If only e portion of en eseet is sold, pleese so indicete (*i.e.*, "partiel sale").** See example below.

Capital Geins — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:* Mega Corporation Common Stock (partial sale)		X			10–12–10		X								
	Dreyfus App fund		X			9-3-10		X								
	" Basic S&P (None)															
	" Disc Stock fund (None)															
	Principal Mid Cap Growth fund		X			1-1-10		X								
	Fidadv Levr Co Stk	X				1-1-10	X									
	Vngrd Expir Inv	X				1-1-10	X									
	Hussman Strategic Growth Fund	X				9-3-10		X								
	Hussman Strategic Total Return Fund	X				9-3-10		X								

This page may be copied if more space is required.

Name Scott Garrett | Page ___ of ___

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.
Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Dste(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of dsys not st sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Israel Education Foundation	1/4-1/10	DC – Jerusalem → DC	Y	Y	Y – wife	None
	Club for Growth	3/4-3/6 ~~2/12-2/14~~	DC – Palm Beach, Flord – DC	Y	Y	Y – wife	None

This page may be copied if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2011 MAY 13 PM 4:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

Frank Pallone, Jr
(Full Name)

202-225-4671
(Daytime Telephone)

(Office Use Only)

Filer Status: ☑ Member of the U.S. House of Representative — State: NJ District 6 — ☐ Officer Or Employee — Employing Office

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTION

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☑	☐
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Frank Pallone, Jr

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
US EPA	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank Pallone, Jr

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Idsntify (e) each aseet held for investment or production of income with e fair merket velue exceeding $1,000 at the end of the reporting period, and (b) eny other reportable asset or sources of income which generetsd more then $200 in "uneerned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For ell IRAs end other retirement plans (such as 401(k) plans) that ere self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each aseet held in the account thet exceeds the reporting thresholds. For retirement accounts which ere not self-directed, provide only the name of the institution holding the eccount and its velue at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or		**Year-End Value of Asset** et close of reporting yeer. If you use e valuetion method other then feir market value, pleese specify the method ueed. If en eseet wes sold and is included only because it ie generated income, the value should be "None."	**Type of Income** Check ell columne thet epply. For retirement eccounts thet do not ellow you to choose specific investments or that generate tax-deferred income (such ae 401(k) plens or IRAe), you mey check the "None" column. Dividends, interest, end capital gains, even if reinvested, muet be disclosed ae income. Check "None" if the esset generated no income during the reporting period.	**Amount of Income** For retirement accounts that do not ellow you to choose specific investments or thet generate tax-deferred income (euch es 401(k) plane or IRAe), you may check the "None" column. For ell other essets, indicate the cetegory of income by checking the eppropriete box below. Dividends, intereet, end capitel gains, even if reinvested, must be diecloeed ae income. Check "None" if no income was earned or genereted.	**Transaction** Indicete if eeset hed purchases (P), sales (S), or exchangee (E) exceeding $1,000 in reporting year.
SP	AIM Global Real Estate - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Am Cent Growth	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Am. Cent. Vista Fund - IRA	None	None	NONE	S
DC	American Centy Invt Div Bd fd inst cl	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC	Artio Int'l	None	None	NONE	S
SP	Artio International - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

DC	Artisan Fund Small Cap Value	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Black Rock Large Cap - IRA	None	DIVIDENDS	$1 - $200	S
SP	Blackrock Int'l Opp Fund - IRA	None	DIVIDENDS	$1 - $200	S
SP	Blair Williams Funds Int'l - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Brandywine blue fd I	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Cambier Oppty Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
JT	Cisco Systems Stock	None	None	NONE	S
DC	Cohen & Steers Realty	None	DIVIDENDS	$1 - $200	S
SP	Diamond Hill Fund - IRA	None	DIVIDENDS	$1 - $200	S
DC	Dodge and Cox Fund (Int'l Stock Fund)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Dodge and Cox Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
SP	Dreyfus Appreciation FD INC - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Dreyfus Emerging mkts fd cl I	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	DWS value ser inc small cap value fd cl s - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

DC	EV Boston Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Fidelity Short Fixed Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
DC	First Amer Invt Fds Inc Real Est secs fd cl Y	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Franklin Dynatech - Roth IRA	$1,001 - $15,000	None	NONE	
SP	Franklin Dynatech Fund - Roth IRA	$1,001 - $15,000	None	NONE	
SP	GE Stock - IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Goldman Money Fund - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Goldman Sachs MMF	$1,001 - $15,000	DIVIDENDS	$1 - $200	PS(part)
SP	Hancock Classic Value Fund - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
DC	Harbor Cap App Fund	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
DC	Harbor Int'l Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Harbor Int'l Fund - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Hotchkiss & Wiley Fund - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
JT	iShares Softward Index ETF	None	None	NONE	S

SP	Lauder Estee Stock - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Legg Mason Value TR Fund	$1,001 - $15,000	None	NONE	S(part)
SP	Managers Time SQ MD Cap Fund - IRA	$1,001 - $15,000	None	NONE	
DC	Morgan JP Core Bond	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	Munder Mid Cap Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
SP	Nuveen Tradewinds Funds - IRA	None	DIVIDENDS	$1 - $200	S
SP	Oppenheimer dev mkts cl Y - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Pheonix Cos. Stock	None	None	NONE	S
DC	PIMCO Commodity Real Return	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	PIMCO Commodity Real Return - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Pimco Total Ret Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S(part)
DC	Pioneer Cullen Value	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC	Pioneer Fund	None	DIVIDENDS	$1 - $200	S

DC	Pioneer Oak Small Cap Fund	$1,001 - $15,000	None	NONE	P
DC	Royce Value Plus	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	RS Emg Mkts Fund (formerly ParkAve Fund) - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Security Mid Cap Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Sentinel mut funds small company class I - IRA	$1,001 - $15,000	None	NONE	P
SP	Texas Inst Stock - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Touchstone Inst Fund- IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)
SP	Unilever PLC Stock - IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Verizon (gift from grandparent in 2010)	$1,001 - $15,000	None	NONE	
SP	Walt Disney Stock - IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Wells Fargo Adv Small Cap- IRA	$1,001 - $15,000	None	NONE	
	Wells Fargo Advantage Fund - Trad Small Cap Growth (formerly Evergreen Growth) - Roth IRA	$1,001 - $15,000	None	NONE	
SP	Wells Fargo Advantage Funds (formerly Evergreen Fund) - Roth IRA	$1,001 - $15,000	None	NONE	

SP	Wells Fargo Fund - IRA	None	None	NONE	S
DC	Wells Fargot Ad intrinsic value (formerly Evergreen Intrinsic Value)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC	WT Mut Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S(part)

SCHEDULE IV - TRANSACTIONS

Name Frank Pallone, Jr

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transactio
SP	Am. Cent Vista Fund - IRA	S	No	03/10/2010	$1,001 - $15,000
DC	American Centy Invt Div Bd fd inst cl	P	N/A	8/17/2010	$1,001 - $15,000
DC	Artio Int'l	S	No	08/17/2010	$1,001 - $15,000
SP	Artio International - IRA	P	N/A	09/17/2010	$1,001 - $15,000
DC	Artisan Fund Small Cap Value	P	N/A	08/18/2010	$1,001 - $15,000
SP	Black Rock Large Cap - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	$1,001 - $15,000
SP	Blackrock Int'l Opp Fund - IRA	S	No	09/17/2010; 09/21/2010	$1,001 - $15,000
SP	Brandywine blue fd I - IRA	P	N/A	09/17/2010	$1,001 - $15,000
SP	Cambier Oppty Fund - IRA	S(part)	No	09/17/2010	$1,001 - $15,000
JT	Cisco Systems Stock	S	No	04/13/2010	$1,001 - $15,000
DC	Cohen & Steers Realty	S	No	04/09/2010; 7/9/2010; 8/17/2010	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Frank Pallone, Jr

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transactio
SP	Diamond Hill Fund - IRA	S	No	09/17/2010; 09/21/2010	$1,001 - $15,000
DC	Dodge and Cox Income Fund	S(part)	No	08/17/2010	$1,001 - $15,000
SP	Dreyfus Appreciation FD INC - IRA	P	N/A	09/17/2010; 09/22/2010	$1,001 - $15,000
DC	Dreyfus Emerging mkts fd cl I	P	N/A	08/17/2010	$1,001 - $15,000
SP	DWS value ser inc small cap value fd cl s - IRA	P	N/A	09/17/2010	$1,001 - $15,000
DC	Fidelity Short Fixed Fund	S(part)	No	08/17/2010	$1,001 - $15,000
DC	First Amer Invt Fds Inc Real Est secs fd cl Y	P	N/A	08/17/2010	$1,001 - $15,000
SP	Goldman Money Fund - IRA	P	N/A	3/11/2010; 9/17/2010	$1,001 - $15,000
DC	Goldman Sachs MMF	P	N/A	08/17/2010	$1,001 - $15,000
DC	Goldman Sachs MMF	S(part)	No	01/12/2010; 04/12/2010; 10/08/2010	$1,001 - $15,000
SP	Hancock Classic Value Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Frank Pallone, Jr

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transactio
DC	Harbor Cap App Fund	P	N/A	08/18/2010	$1,001 - $15,000
SP	Harbor Int'l Fund - IRA	P	N/A	09/17/2010	$1,001 - $15,000
SP	Hotchkiss & Wiley Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	$1,001 - $15,000
JT	iShares Software Index ETF	S	No	04/13/2011	$1,001 - $15,000
SP	Legg Mason Value TR Fund - IRA	S(part)	No	09/17/2010	$1,001 - $15,000
DC	Morgan JP Core Bond	P	N/A	08/18/2010	$1,001 - $15,000
SP	Morgan Stanley instl fund trust mid cap growth port class I - IRA	P	N/A	03/11/2010	$1,001 - $15,000
DC	Munder Mid Cap Fund	S(part)	No	08/17/2010	$1,001 - $15,000
SP	Nuveen Tradewinds Funds - IRA	S	No	09/17/2010; 09/21/2010	$1,001 - $15,000
SP	Oppenheimer dev mkts cl Y - IRA	P	N/A	09/17/2010	$1,001 - $15,000
JT	Phoenix Cos. Stock	S	No	04/13/2010	<$1,000

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transactio
DC	Pimco Total Ret Fund	S(part)	No	08/17/2010	$1,001 - $15,000
DC	Pioneer Cullen Value	P	N/A	08/18/2010	$1,001 - $15,000
DC	Pioneer Fund	S	No	08/17/2010	$1,001 - $15,000
DC	Pioneer Oak Small Cap Fund	P	N/A	08/17/2010; 08/18/2010	$1,001 - $15,000
SP	Security Mid Cap Fund - IRA	P	N/A	09/17/2010	$1,001 - $15,000
SP	Sentinel mut funds small company class I - IRA	P	N/A	09/17/2010	$1,001 - $15,000
SP	Touchstone Inst Fund - IRA	S(part)	No	04/13/2010; 09/17/2010	$1,001 - $15,000
DC	Wells Fargo Ad Intrinsic Value	P	N/A	08/17/2010	$1,001 - $15,000
SP	Wells Fargo Fund - IRA	S	No	04/13/2010; 09/17/2010; 09/21/2010	$1,001 - $15,000
DC	WT Mut Fund	S(part)	No	08/17/2010	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liabilit
	Congressional Federal Credit Union	Sept. 2007	Personal Line of Credit (formerly Personal Loan)	$15,001 - $50,000
JT	Chase Visa	Dec 2010	Revolving Charge Acct	$15,001 - $50,000

SCHEDULE VI - GIFTS

Name Frank Pallone, Jr

Report the source, a brief description, and the value of all gifts totaling more than $335 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
State of New Jersey - Division of State Lottery	NJ Lottery winnings	$24,835.33

UNITED STATES HOUSE OF REPRESENTATIVES **CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**	**FORM A** Page 1 of 8 For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAY 10 AM 11:00

MC

U.S. (Office Use Only)

WILLIAM JAMES PASCRELL	973-523-5152
(Full Name)	(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 8TH	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE IV - TRANSACTIONS

Name WILLIAM JAMES PASCRELL

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	S	No	06/30/10	$1,001 - $15,000
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	P	N/A	06/30/10	$1,001 - $15,000
SP	HUDSON CITY SAVINGS	S	No	02/02/10	$15,001 - $50,000
SP	HUDSON CITY SAVINGS	S	No	05/30/10	$15,001 - $50,000
JT	PRUDENTIAL FINANCIAL ANNUITY	S	No	10/20/10	$250,001 - $500,000
JT	STIFEL NICOLAUS AMGEN	S	No	11/10/10	$1,001 - $15,000
JT	STIFEL NICOLAUS CASH	P	N/A	11/10/10	$89
JT	STIFEL NICOLAUS FRONTIER COMMUNICATIONS STOCK	P	N/A	11/10/10	$1,001 - $15,000
JT	STIFEL NICOLAUS NJ ECONOMIC DEVELOPEMENT BOND	S	No	11/01/10	$1,001 - $15,000
JT	STIFEL NICOLAUS NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL FUNS II	P	N/A	11/10/10	$1,001 - $15,000
	WACHIVIA ANNUITY AIG ANNUITY INS CO	S	No	10/20/10	$100,001 - $250,000

SCHEDULE IV - TRANSACTIONS

Name WILLIAM JAMES PASCRELL

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
	WESTERN NATIONAL LIFE INSURANCE CO	P	N/A	10/20/10	$250,001 - $500,000

SCHEDULE I - EARNED INCOME

Name WILLIAM JAMES PASCRELL

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
STATE OF NEW JERSEY	PENSION	$55,781

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WILLIAM JAMES PASCRELL

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLDCK C **Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	BLOCK D **Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
SP	AIG RETIREMENT IRA FIXED INCOME ACCOUNT	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
JT	AMERIPRISE MARLBORO NJ MUA	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	AMERIPRISE FINANCIAL (FORMERLY JANNEY MONTGOMERY)CENTURYTEL INC	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	AMERIPRISE MONEY MARKET	$1 - $1,000	INTEREST	$1 - $200	P
JT	AMERIPRISE NJ BUILDING AUTHORITY BOND	$15,001 - $50,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WILLIAM JAMES PASCRELL

JT	AMERIPRISE REPUBLIC BANCORP STOCK	$1 - $1,000	DIVIDENDS	$1 - $200	
JT	AMERIPRISE SPRINT NEXTEL STOCK	$1 - $1,000	DIVIDENDS	$1 - $200	
	AMERIPRISE TORTOISE ENERGY STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	EVERGREEN FUNDS MUNICIPAL MONEY MARKET	None	DIVIDENDS	$201 - $1,000	S
	FIDELITY INVESTMENTS MAGELLAN	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	FIDELITY INVESTMENTS MAGELLAN	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
JT	FREEDOM BANK	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	FREEDOM BANK IRA	$1,001 - $15,000	INTEREST	$1 - $200	
SP	FREEDOM BANK IRA	$1,001 - $15,000	INTEREST	$1 - $200	
JT	FREEDOM BANK MONEY MARKET	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	HUDSON CITY SAVINGS	None	None	NONE	S
	JACKSON NATIONAL LIFE INSURANCE COMPANY ANNUITY	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
SP	MERRILL LYNCH BLACKROCK FUNDAMENTAL GROWTH	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	MERRILL LYNCH ML BANK	$1,001 - $15,000	INTEREST/DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WILLIAM JAMES PASCRELL

JT	PRUDENTIAL FINANCIAL ANNUITY	None	INTEREST	$5,001 - $15,000	S
	PRUDENTIAL FINANCIAL ANNUITY	$100,001 - $250,000	INTEREST	$2,501 - $5,000	
SP	SHORE PIRATES LLC	$250,001 - $500,000	RENT	NONE	
JT	STATE OF NEW JERSEY	$250,001 - $500,000	Other: (Please specify) PENSION	$50,001 - $100,000	
JT	STIFEL NICOLAUS AMGEN	None	DIVIDENDS	NONE	S
JT	STIFEL NICOLAUS CASH	$1 - $1,000	INTEREST	$1 - $200	P
JT	STIFEL NICOLAUS FRONTIER COMMUNICATIONS STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	STIFEL NICOLAUS NJ ECONOMIC DEVELOPEMENT BOND	None	INTEREST	NONE	S
JT	STIFEL NICOLAUS NUVEEN NEW JERSEY DIVIDEND ADVANTAGE MUNICIPAL FUNS II	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
JT	STIFEL NICOLAUS PIMCO MUNICIPAL INCOME FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	TD BANK NORTH CERTIFICATE OF DEPOSIT	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	
	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name WILLIAM JAMES PASCRELL

	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	
SP	TD BANKNORTH IRA CERTIFICATE OF DEPOSIT	$1,001 - $15,000	INTEREST	$1 - $200	
	TRANSAMERICA ANNUITY	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	TRANSAMERICA ANNUITY	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	TRANSAMERICA ANNUITY	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
SP	TRANSAMERICA ANNUITY	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	UNITED STATES SAVINGS BONDS SERIES E AND EE	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
	WACHIVIA ANNUITY AIG ANNUITY INS CO	None	INTEREST	$1,001 - $2,500	S
	WACHOVIA IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	WELLS FARGO ADVANTAGE FUNDS EVERGREEN MUNICIPAL MONEY MARKET	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	WELLS FARGO CASH	$1 - $1,000	INTEREST	$1 - $200	
JT	WELLS FARGO EVERGREEN LARGE CAP	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	WELLS FARGO PUTNAM NJ TAX EXEMPT	$1 - $1,000	DIVIDENDS	$1 - $200	
	WESTERN NATIONAL LIFE INSURANCE CO	$500,001 - $1,000,000	INTEREST	$15,001 - $50,000	P

UNITED STATES HOUSE OF REPRESENTATIVES **CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**	**FORM A** For use by Members, officers, and employees	Page 1 of

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2011 MAY 11 PM 5:23 MC
(Office Use Only)
U.S. HOUSE OF REPRESENTATIVES

Steven R. Rothman	202-225-5061
(Full Name)	(Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives	State: NJ District: 09	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes ☐ No ☑

SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Twinks Company	Manager's Fee	$26,500
Center for Food Action in New Jersey, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with e fair msrket value exceeding $1,000 at the end of the reporting period, and (b) any other reportable aeset or eourcee of income which generated more then $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For sll IRAs end other retirement plsns (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for eech asset held in the account thet exceeds the reporting thresholds. For retirement accounts which are not eelf-directed, provide only the name of the ineititution holding ths account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete addrese. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or		**Year-End Value of Asset** et close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If en asset wae eold and is included only because it ie generated income, the value ehould be "None."	**Type of Income** Check all columne that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, snd capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	**Amount of Income** For retirement accounts that do not allow you to choose epecific investments or that gsnerate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of incoms by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, muet be diclosed as income. Check "None" if no income was earned or generated.	**Transaction** Indicate if asset hed purcheses (P), salee (S), or exchanges (E) exceeding $1,000 in reporting year.
DC 1	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC 2	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street, South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	17793 Southwick Way Boca Raton, FL (1/3 owner)	$100,001 - $250,000	N/A	NONE	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	250 North Street Teterboro, NJ (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court; South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
DC 2	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC 1	45 Ruta Court, South Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3% owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	600 Hollister Road Teterboro, NJ (33 1/3%)	$50,001 - $100,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ; RNN LLC (33% owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
SP IRA	Alliance Bernstein Int'l	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
SP IRA	Alliance Bernstien Global	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

SP IRA	American Capital Income	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP IRA	American Capitol World Growth and Ind CJC	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP IRA	Blackrock Equity	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC2	CD Capmark Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC2	CD Capmark Bank	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A
DC1	CD Capmark Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	S(part)
IRA	CD Compass Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
DC1	CD Compass Bank	None	INTEREST	$201 - $1,000	S
DC2	CD Compass Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	S(part)
IRA	CD Discover Bank	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A
DC2	CD Discover Bank	$50,001 - $100,000	INTEREST	$1,001 - $2,500	S(part)
SP IRA	CGM Advisor Targeted	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
SP IRA	Citibank Retirement Plan	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

SP	CMA NJ Municipal Money Market Fund	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	N/A
DC 1	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC 2	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
I	Columbia Bank Acct	$1,001 - $15,000	NONE	NONE	N/A
SP	Columbia Bank Checking Account	$1,001 - $15,000	INTEREST	$1 - $200	N/A
SP IRA	Columbia Marisco 21st	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
Partner	Dreyfus NJ Money Mkt	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC 2	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC 1	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
Partner	Dreyfus NJ Money Mkt Fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP IRA	Fidelity Adv Leveraged	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Fidelity Mun. Money Mkt. Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
SP IRA	Goldman Sachs	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

SP IRA	Henderson Int'l	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
DC 1	I Shares MSCI Taiwan	None	DIVIDENDS	$201 - $1,000	S
DC 2	I Shares MSCI Taiwan	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S(part)
SP IRA	Loomis Sayles Strategic	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
SP IRA	Merrill Lynch Bank USA RASP	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	N/A
IRA	Oppenheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd Cls A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Partner-Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
Partner	Roan Mun. Money Mkt. Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
DC 1	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	N/A
DC 2	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	N/A
SP IRA	Templeton Global Bond Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
Partner	Twinks Checking Account-Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Twinks LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of $200?	Date	Amount of Transaction
DC2	I Shares MSCI Taiwan	S	Yes	07-06-10	$1,001 - $15,000
DC1	I Shares MSCI Taiwan	S(part)	Yes	12-13-10	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. *Exclude:* Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2011 MAY 13 PM 5:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC

HAND DELIVERED
(Office Use Only)

Donald M. Payne — (Full Name)
202-225-3436 — (Daytime Telephone)

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ — District: 10	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	☑	☐
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	☑	☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Donald M. Payne

List the source, type, and amount of earned Income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraris; list only the source for other spouse esrned income exceeding $1,000.

Source	Type	Amount
Morgan Stanley Smith Barney IRA	Mandatory Distribution	$14,301.44

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with e fair market value exceeding $1,000 at the end of the reporting period, and (b) eny other reportable asset or sources of income which generated more then $200 In "unearned" Income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plens) that are self-directed (i.e.,plans In which you have the power, even If not exercised, to select the specific Investments), provide the value for each asset held In the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for Investment, provide a complete address. For an ownership interest In a privately-held business that Is not publically traded, state the name of the business, the nature of its activities, and Its geographic location In Block A. Exclude: Your personal residence, Including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or	**Year-End Value of Asset** at close of reporting year. If you use a veluetion method other than fair market velue, please specify the method used. If an asset was sold and is Included only because It Is genereted Income, the value should be "None."	**Type of Income** Check all columns thet apply. For retirement accounts that do not allow you to choose specific Investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, Interest, and capital gains, even If relnvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	**Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generete tax-deferred Income (such as 401(k) plens or IRAs), you may check the "None" column. For all other essets, Indicate the category of Income by checking the appropriate box below. Dividends, interest, and capital gains, even if relnvested, must be disclosed as Income. Check "None" if no income was earned or generatsd.	**Transaction** Indicate If esset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
19 Bock Avenue, Newark, NJ	$250,001 - $500,000	Rent	$15,001 - $50,000	
American Express Centurion Bank CD (1 1/2 years)	$1,001 - $15,000	Interest	$1 - $200	
GE Money Market Bank 2-year CD	$1001 -$15,000	Interest	$1-$200	
Medco Health Solutions, Inc. Stock	$1001 - $15,000	None	NONE	
Merck Stock	$15,001 - $50,000	Dividends	$1001 - $2,500	
MetLife Annuity	$100,001 - $250,000	Interest	$5,001 - $15,000	

	Prudential Stock	$15,001 - $50,000	Dividends	$201 - $1,000	
	Smith Barney Bank Deposit Program	$15,001 - $50,000	Interest	$1 - $200	
	Smith Barney CG Capital Market Large Cap Value	$15,001 - $50,000	Dividends	$201 - $1,000	
	Smith Barney CG Capital Markets International Equity	$15,001 - $50,000	Dividends	$201 - $1,000	
	Smith Barney CG Large Cap Growth	$50,001 - $100,000	Dividends	$1 - $200	
	Smith Barney Small Cap Growth	$1,001 - $15,000	None	NONE	
	Smith Barney Small Cap Value	$1,001 - $15,000	Dividends	$1 - $200	
	Wells Fargo Stock	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Donald M. Payne

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any resl property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in s loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Cspital Gain in Excess of $200?	Date	Amount of Transaction
	Ally Bank CD	P	No	02-05-10	$1001 - $15,000
	Ally Bank CD	S	No	11-12-10	$1001 - $15,000
	American Express Centurion Bank CD	P	No	02-05-10	$1001 - $15,000
	Bank of China CD	S	No	11-12-10	$1001 - $15,000
	Bank of China CD	P	No	05-12-10	$1001 - $15,000
	Citibank CD	S	No	03-04-10	$15,001 - $50,000
	GE Money Bank CD	P	No	05-04-10	$1001 - $15,000

SCHEDULE V - LIABILITIES

Name Donald M. Payne

Report iisbiiities of over $10,000 owed to sny one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personsl residence (unless all or part of it is rented out); loans secured by sutomobiles, household furniture, or appliances; snd liabilities owed to a spouse, or the child, psrent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	Morgan Stanley Smith Barney	April 1, 1993	Margin Loan	$15,001 - $50,000
	Sovereign Bank	April 11, 2008	Home Equity Loan 21 Bock Avenue, Newark, NJ	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Donald M. Payne

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicste whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, thst was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federsl, state, and local governments, or by a foreign government required to be sepsrately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child thst is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
J Street Education Fund	Feb. 15-19, 2010	Newark, NJ - Tel Aviv - Jerusalem - Ramallah - Newark, NJ	Y	Y	N	None
The Aspen Institute Congressional Program	May 31-June 6, 2010	Newark, NJ - Tunis, Tunisia - Newark, NJ	Y	Y	Y	None
The Aspen Institute Congressional Program	August 16-21, 2010	Newark, NJ - Vancouver - Newark, NJ	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Donald M. Payne

Report all positions, compenssted or uncompensated, held during the current calendar year as sn officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Chairman, Board of Directors	Congressional Black Caucus Foundation, Inc.
Member, Board of Directors	Discovery Channel Foundation
Member, Board of Directors	Newark Day Center
Member, Board of Directors	University Heights Science Project
Member, Board of Directors	YMCA of Newark and Vicinity

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT	Form A For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAY 12 AM 11:26

MC

(Office Use Only)

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name: Rodney P. Frelinghuysen **Daytime Telephone:** 202-225-5034

Filer Status	[X] Member of the U.S. House of Representatives — State: New Jersey, District: 11	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 16, 2011)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yea, complete and attach Schedule I.**		X
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**		X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	X	
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**		X
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**		X
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	X	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		X

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	X	
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.		X

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

Name Rodney P. Frelinghuysen

BLOCK A — Asset and/or Income Source

Identify (a) eech asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which genereted more than $200 in "unearned" income during the year.

Provide complete nemes of stocks end mutuel funds (do not use ticker symbols.)

For **all IRAs** and other retirement plans (such as 401(k) plens) thet ere self-directed (*i.e.*, plans in which you have the power, *even if not exercised*, to select the specific investments), provide the velue for eech asset held in the account that exceeds the reporting thresholds. For retirement accounts which ere not self-directed, provide only the neme of the institution holding the account end its value at the end of the reporting period.

For rental or other real property held for investment, provide e complete eddress.

For an ownership interest in a privetely-held business that is not publicly traded, state the name of the business, the neture of its activities, end its geographic location in Block A.

Exclude: Your personal residence, including second homes end vecetion homes (*unless* there wes rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, e federal retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividands, intarast, snd cspital gains, even if reinvested, must be diaclosed as incoma.** Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, intereat, and capital gaina, avan if reinvasted, must be disclosad as income.** Check "None" if no income was earned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
Examples: SP	Mega Corp. Stock					X									X			X						X								S (partial)
Examples:	Simon & Schuster		Indefinite																	Royalties									X			
Examples:	1st Bank of Paducah, KY Accounts						X									X										X						
	See Continuation Sheets																															
	Pages 3 thru 25																															

For additional assets and unearned income, use next page.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Johnson & Johnson	G	DIV	VII	
Pall Corp.	G	DIV	V	
Peapack Gladstone Financial Corp.	E	DIV	IV	
Procter & Gamble Co.	I	DIV/GAIN	IX	S(partial)
International Business Machines	H	DIV	VII	
Eli Lilly & Co.	G	DIV	VI	
Brocade Communications Systems, Inc. (IRA)	C	NONE	I	
Peapack Gladstone Bank (CKG)	H	INT	III	
JP Morgan Chase Bank (SVGS)	E	INT	II	
Congressional Federal Credit Union	C	INT	II	
Merck & Co., Inc.	E	DIV	V	
JP Morgan Funds	C	DIV	II	
Prime Money Market Fund (IRA)	C	DIV	Under $1.00	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Abbott Labs	F	DIV	VI	
Emerson Electric Co.	F	DIV	V	
Exxon Mobil Corp.	G	DIV	VI	
Hospira, Inc.	F	NONE	I	
McGraw Hill Cos., Inc.	E	DIV	IV	
Medtronic, Inc.	A	DIV/GAIN	VI	S
Schlumberger LTD	F	DIV	IV	
Wells Fargo & Co. (NEW)	E	DIV	III	
B G Group PLC	F	DIV	IV	
Nestle SA Sponsored ADR	F	DIV	V	
General Electric Co.	E	DIV	IV	
White Mountain Insurance Group	A	DIV/GAIN	V	P/S
Chicago Bridge & Iron Co.	F	DIV	I	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Schwab U.S. Treasury Money Fund	E	DIV	II	P
Varian Medical Systems, Inc.	F	NONE	I	
Denbury Resources, Inc. (Formerly, Encore Acquisition Co)	F	NONE	I	
Union Pacific Corp.	E	DIV	IV	
American Superconductor Corp.	E	NONE	I	
Plains Exploration & Production Co.	A	NONE	I	S
Laboratory Corp. of America	E	NONE	I	
Encore Energy Partners	F	DIV	VI	
Berkshire Hathaway CL'B'	F	NONE	I	
Google, Inc. CL'A'	F	NONE	I	P
Bank of NY Mellon Corp	E	DIV	IV	
Coca Cola Company	E	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Devon Energy Corp.	F	DIV	III	
Encana Corp.	A	DIV	IV	S
Royal Dutch Shell	F	DIV	VI	P
John Wiley & Son CL'A'	E	DIV	IV	
Third Avenue Focused (TFCIX)	F	DIV	IV	P
Park Ridge NJ 3.875% Due 10/15/17	A	INT	III	S
U.S. T-Note 1% due 8/31/11	A	INT/GAIN	V	S
Ishares MSCI Emrg. Mkt Fund	F	DIV	IV	
Sysco Corparation	E	DIV	V	
U.S. T-Note .75% due 11/30/11	G	INT/GAIN	III	P/S(partial)
Chevron Corp.	E	DIV	I	P
ABB Ltd ADR	F	DIV	V	P
TJX Co. Inc.	E	DIV	III	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

ASSETS	YEAR-END VALUE	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Medco Health Solutions, Inc	F	NONE	I	P
Celgene Corp.	E	NONE	I	P
Cisco Systems, Inc.	E	NONE	I	P
First Eagle Gold Fund CL'A'	F	DIV	VI	P
17.923 Acres unimproved land, Frelinghuysen Twp., NJ (Commercial Value 2005)	G	NONE	I	
1/5th undivided interest in 235.8 acres of unimproved land, Stockbridge, Ma. (Est. Value)	F	NONE	I	
1/5 interest in Rattlesnake Mountain, LLC Rental Home, Stockbridge, MA	F	RENT	I	
Pacific Life - Whole Life Insurance	G	N/A		

Daughter no longer a dependent therefore the following assets have been dropped: Pfizer, Inc., Bank of America(svgs), Conoco Phillips, Hugoton Royalty Trust & U.S. T-Note .875% Due 2/28/11.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Procter Trust For Frelinghuysen, Peter H. B. Frelinghuysen, Trustee (1/10th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

This Trust replaces the Marital Trust u/w Rodney Procter and the Non-Marital Trust u/w Rodney Procter.

2010 Income Received IX

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : A.H. Frelinghuysen 1950 Trust,
Peter H. B. Frelinghuysen, Trustee
(1/5 income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : Peter H.B. Frelinghuysen Trust U/D dated 1/17/57, Peter H.B. Frelinghuysen and George L. K. Frelinghuysen, Trustees (1/8th income interest)*

* All Assets are held in trust, and all transactions are initiated by the Trustee

The trust was not created directly by Rodney, his spouse or any dependents.

They are neither consulted nor give advice regarding these investments.

Neither Rodney, his spouse or any dependents receives monthly, quarterly, yearly reports of any type regarding this trust, excepting the Yearly K-1. Therefore, it qualifies as an Excepted Trust.

2010 Income Received VII

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. P. Frelinghuysen Trust U/A
4/3/73 Deutsche Bank, New York, NY
Trustee (discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Merck & Co., Inc.	F	DIV	VI	
Procter & Gamble Co.	I	DIV	VII	
Deutsche Bank Trust Co. Tax Free Income Fund	F	DIV	VI	
Deutsche Bank Trust Money Market Account	E	INT	II	S(partial)
Cisco Systems, Inc.	C	NONE	I	
Intel Corp.	C	DIV	II	
Microsoft Corp.	C	DIV	III	
Pfizer	D	DIV	IV	
Bank of America	C	DIV	II	

* All Assets listed are held in trust, and all transactions are initiated by the Trustee. Neither Rodney, his spouse or any dependents is consulted nor gives advice regarding these investments. However, Rodney does receive qnarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	C	DIV	II	
Noble Corp. ORD	D	DIV	III	
Medco Health Solutions	E	NONE	I	
Ishares Tr. MSCI EAFE Index Fd	D	DIV	III	
SPDR Tr. Unit Ser I Standard & Poors Dep. Receipt	F	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Abbott Laboratories	F	DIV	VI	
Bristol Myers Squibb Co.	E	DIV	V	
IMS Health, Inc.	A	DIV	I	E
Moody's Corp.	D	DIV	III	
R.H. Donnelley Corp.	A	NONE	I	Deemed Worthless
Gannett Co., Inc.	C	DIV	II	
H. J. Heinz Company	D	DIV	IV	
Pepsico, Inc.	F	DIV	VI	
Procter & Gamble Co.	J	DIV	IX	
Loews Corp.	G	DIV	IV	
Motorola, Inc.	D	DIV	I	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
CSX Corporation	F	DIV	IV	
A T & T, Inc. new	D	DIV	IV	
Alcatel-Lucent ADR	B	NONE	I	
Daily Income Fund	H	INT	II	S(partial)
American Express Co.	E	DIV	IV	
McGraw-Hill Cos., Inc.	E	DIV	IV	
Sherwin Williams Co.	F	DIV	V	
Dun & Bradstreet Corp., NEW	D	DIV	III	
Zimmer Holdings, Inc.	D	NONE	I	
Smucker, J.M. Co.	F	DIV	V	
DelMonte Foods Co.	C	DIV	II	
Comcast Corp. New CL 'A'	C	DIV	II	
Hospira, Inc.	D	NONE	I	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Ameriprise Financial, Inc.	D	DIV	III	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Home Depot, Inc.	D	DIV/GAIN	VII	S(partial)
Coca Cola Co.	E	DIV	IV	
Pepsico, Inc.	E	DIV/GAIN	VIII	S(partial)
Altria Group, Inc.	E	DIV/GAIN	VI	S(partial)
Procter & Gamble Co.	E	DIV/GAIN	V	S(partial)
Aetna, Inc., NEW	A	GAIN	VIII	S

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	E	DIV	IV	
Emerson Electric Co.	F	DIV	V	
Parker - Hannifin Corp.	E	DIV/GAIN	VII	S(partial)
Hewlett - Packard	E	DIV	III	
International Business Machines	E	DIV/GAIN	IV	S(partial)
Microsoft Corp.	F	DIV/GAIN	VIII	S(partial)
BP PLC Sponsored ADR	A	DIV/GAIN	VII	S
Exxon Mobil Corp	F	DIV/GAIN	VII	S(partial)
Royal Dutch Shell PLC ADR (Formerly, Royal Dutch Petroleum Co.)	E	DIV	V	
Union Pacific Corp.	E	DIV/GAIN	VIII	S(partial)
J P Morgan Chase & Co.	D	DIV	II	
Oakmark Int'l Fund CL 'I'	E	DIV	III	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Yum Brands, Inc.	E	DIV/GAIN	VI	S(partial)
Public Storage, Inc.	E	DIV	IV	
Johnson & Johnson	D	DIV/GAIN	VI	S(partial)
Stericycle, Inc.	F	GAIN	VII	S(partial)
Colgate-Palmolive Co.	E	DIV/GAIN	VII	S(partial)
Diageo PLC ADR	D	DIV/GAIN	V	S(partial)
Apache Corp.	E	DIV	III	
Sussex Cty NJ - Cty College 3.75% due 9/01/2013	F	INT	V	
VIMAC IT Fund III LP	D	NONE	I	
Ishares Barclays 1-3 Yr Credit Bond	F	INT	V	
Ishares Barclays Treasury - Inflation Protected Fund	A	INT/GAIN	VI	S
Templeton Global Bond Fund Advisor CL	G	DIV	VII	P
Philip Morris Int'l	F	DIV/GAIN	VII	S(partial)

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
National Oilwell VARCO, Inc.	D	DIV	III	S(partial)
SPDR Gold Trust	E	NONE	I	
Allianz NFJ Int'l Value Fund	F	DIV	V	
Absolute Strategies Fund Inst'l	G	DIV	IV	P
VIMAC IT III Annex Fund	D	NONE	I	
Dreyfus Gov't Cash Mgmt Inst'l SHS	F	DIV	III	S(partial)
Vanguard High-Yield Corp. fund	D	DIV	VI	S(partial)
State Steet Corp.	D	DIV	II	S(partial)
U.S. Bancorp(new)	D	DIV	IV	S(partial)
Ishares MSCI Emrg. Mkt Index Fund	F	DIV	IV	P
Thomas White Int'l Fund	F	DIV	IV	
Thornburg Int'l Value Fund I	F	DIV	IV	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Wisdomtree Int'l Reas Estate Index ETF	E	DIV	VI	P
Hussman Strategic Growth Fund	G	DIV	III	P
Pimco 1-5 Year US TIPS Index ETF	F	DIV	IV	P
Chubb Corp.	C	DIV	I	P
Analog Devices	D	DIV	II	P
Exelon Corp.	C	DIV	II	P
Franklin Int'l Small Cap Growth ADV	F	DIV	IV	P
Tortoise Energy Infrastructure Corp.	F	DIV	VI	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income beneficiary)*

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
J P Morgan Chase & Co.	D	DIV	II	
Coca Cola Co.	D	DIV/GAIN	VI	S(partial)
Altria Group, Inc.	D	DIV/GAIN	V	S(partial)
Abbott Labs	D	DIV/GAIN	VI	S(partial)
Johnson & Johnson	C	DIV/GAIN	VII	S(partial)
Emerson Electric	D	DIV/GAIN	VI	S(partial)

* All Assets listed are held in trust, and all transactions are initiated by the Trustee

Rodney P. Frelinghuysen is neither consulted nor gives advise regarding these investments.

Spouse does receive quarterly statements regarding this trust.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
General Electric Co.	D	DIV/GAIN	VI	S(partial)
Hewlett - Packard	D	DIV/GAIN	VI	S(partial)
Exxon Mobil Corp.	E	DIV/GAIN	VII	S(partial)
Montclair NJ Gen. Oblig.	A	INT	IV	S
Burlington Cty NJ OID	A	INT	V	S
A T & T, Inc. new	D	DIV/GAIN	VII	S(partial)
Oakmark Int'l Fund CL 'I'	D	DIV	III	
Templeton Global Bond Fund	G	INT	VII	P
Diageo PLC ADR	A	DIV	III	S
Procter & Gamble Co.	D	DIV/GAIN	IV	S(partial)
Novartis AG ADR	D	DIV	IV	S(partial)
Absolute Strategies Fund Int'l	G	DIV	IV	P
Ishares Barclay 1-3yr. Credit Bond	F	INT	VI	

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Ishares Barclays Treasury - Inflation Protected Fund	A	INT/GAIN	VI	S
Philip Morris Int'l	E	DIV/GAIN	VII	S(partial)
Apache Corp.	E	DIV/GAIN	IV	S(partial)
Royal Dutch Shell PLC ADR	E	DIV/GAIN	VI	S(partial)
Schlumberger LTD	D	DIV/GAIN	IV	S(partial)
SPDR Gold Trust	E	NONE	I	
Allianz NFJ Int'l Value Fund	F	DIV	IV	
Thomas White Int'l Fund	F	DIV	IV	
Diamond Hill Long/Short Fund CL'T	A	GAIN	VI	S
Hussman Strategic Growth Fund	F	DIV	II	P
Leuthold Core Investment Fund Inst'l CL	D	DIV	III	S(partial)
Dreyfus Govt Cash Mgmt Instl SHS (Formerly, Dreyfus Inst'l Cash Advantage Fund)	F	DIV	II	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Vanguard Short-Term Tax Exempt Fund	E	DIV	IV	
Vanguard High Yield Corp. Fund	D	DIV/GAIN	VI	S(partial)
Express Scripts, Inc.	E	GAIN	VI	S
Apple, Inc.	E	GAIN	VI	S(partial)
Google, Inc. CL'A'	E	GAIN	IV	S(partial)
S & P North American Tech Software Index Fund	D	GAIN	V	S(partial)
Ishares Russell 2000 Value Index Fund	A	GAIN	VII	S
Ishares MSCI Emrg Mkt Index Fund	F	DIV/GAIN	VI	S(partial)
Thornburg Int'l Value Fund I	F	DIV	IV	
Wisdomtree Int'l Real Estate Index ETF	E	DIV	V	P
U.S. Bancorp	D	DIV	II	
Pimco 1-5 Year US TIPS Index ETF	F	DIV	IV	P
Wal-Mart Stores, Inc.	C	DIV	I	P

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE III

HOLDINGS	YEAR-END VALUATION	INCOME TYPE	2010 INCOME	2010 TRANSACTION
Chevron corp.	C	DIV	I	P
Occidental Petroleum Corp.	C	DIV	I	P
Chubb Corp.	C	DIV	I	P
3M Company	C	DIV	II	P
Expeditors Int'l of Washington, Inc.	D	DIV	II	P
Analog Devices	D	DIV	II	P
Exelon Corp.	D	DIV	III	P
Frontegra Netols Small Cap Value Instl	E	DIV	II	P
Walhausen Small Cap Value Fund	E	NONE	I	P
Franklin Int'l Small Cap Growth ADV	F	DIV	IV	P
Vanguard Emrg Mkts Index ETF	D	DIV	III	P
Tortoise Energy Infrastructure Corp.	E	DIV	V	P

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of sn ssset is sold, please so indicate (*i.e.*, "psrtisl ssle").** See example below. **Cspitsl Gsins** — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.			Type of Transaction			Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE			A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP, DC, JT	Asset																
SP	*Example:*	Megs Corporation Common Stock (partial sale)		X			10–12–10		X								
	See Continuation Sheets																
	Pages 27 thru 43																

This page may be copied if more space is required.

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
Various	Schwab U.S. Treasury Money Fund	P		B
02/02/10	White Mountains Insurance Group	P		C
02/03/10	Park Ridge, NJ 3.875% Due 10/15/17	S		D
02/03/10	U.S. T-Note 1% due 8/31/11	S	X	C
02/05/10	ABB Ltd ADR	P		C
03/15/10	White Mountains Insurance Group	S	X	C
06/17/10	White Mountains Insurance Group	S	X	C
08/10/10	Medco Health Solutions	P		C
08/10/10	Plains Exploration & Production	S		C
08/24/10	Google, Inc.	P		B
09/01/10	Royal Dutch Shell ADR	P		B
09/02/10	U.S. T-Note 1% Due 8/31/11	S	X	D
09/09/10	Procter & Gamble co.	S(partial)	X	E

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/20/10	U.S. T-Note .75% Due 11/30/11	P		E
09/29/10	U.S. T-Note 1% Due 8/31/11	S		C
09/29/10	First Eagle Gold Fund CL'A'	P		D
10/01/10	U.S. T-Note 1% Due 8/31/11	S		B
11/01/10	U.S. T-Note 1% due 8/31/11	S		C
11/05/10	TJX Cos., Inc.	P		C
11/09/10	U.S. T-Note .75% Due 11/30/11	S(partial)		C
11/11/10	Cisco Systems, Inc.	P		C
11/12/10	U.S. T-Note .75% due 11/30/11	S(partial)		C
11/15/10	U.S. T-Note .75% Due 11/30/11	S(partial)		C
11/29/10	Chevron Corp.	P		C
11/29/10	Encana Corp.	S		C
12/16/10	Medtronics, Inc.	S	X	D

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
12/17/10	Celgene Corp.	P		C
12/31/10	First Eagle Gold Fund CL'A'	Re-Invest		A
12/21/10	Third Avenue Focused (TFCIX)	Re-Invest		under $100
12/21/10	Third Avenue Focused (TFCIX)	Re-Invest		under $1,000

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. P. Frelinghuysen Trust U/A
4/3/73 Deutsche Bank, New York, NY
Trustee (discretionary income interest)*

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
Various	Deutsche Bank Trust Money Market Acct	S(partial)		B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : B. S. Procter Trust U/A
5/31/60 F/B/O Rodney P. Frelinghuysen
Peter Frelinghuysen & Rodney P. Frelinghuysen, Trustees

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
Various	Daily Income Fund	S(partial)		E
02/04/10	R.H. Donnelly Corp.	Worthless Delivery		None
02/26/10	IMS health, Inc.	E	X	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : V. T. Robinson Trust U/A dated 4/10/80, Fiduciary Trust Company, Boston, Ma. Trustee (spouse discretionary income interest)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	S	X	D
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		D
03/23/10	Hussman Strategic Growth Fund	P		B
03/23/10	Tortoise Energy Infrastructure Corp.	P		C
03/23/10	Aetna, Inc. NEW	S(partial)	X	C
03/23/10	Microsoft Corp.	S(partial)	X	B
03/23/10	Parker-Hannifin Corp.	S(partial)	X	B
03/23/10	Stericycle, Inc.	S(partial)	X	B
03/23/10	Union Pacific Corp.	S(partial)	X	B
03/23/10	Colgate-Palmolive Co.	S(partial)	X	B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
03/23/10	Exxon Mobil Corp.	S(partial)	X	A
03/23/10	Pepsico, Inc	S(partial)	X	B
03/24/10	Vanguard High-Yield Corp. Fund	S(partial)	X	B
03/29/10	Templeton Global Bond Fund Advisor CL	P		C
03/29/10	Franklin Int'l Small Cap. Growth ADV	P		B
03/29/10	Absolute Strategies Fund Inst'L	P		C
06/11/10	BP PLC Sponsored ADR	S(partial)	X	A
07/08/10	Diageo PLC ADR	S(partial)	X	B
07/08/10	Home Depot, Inc.	S(partial)	X	A
07/08/10	Philip Morris Int'l	S(partial)	X	A
07/08/10	Exxon Mobil Corp.	S(partial)	X	A
07/08/10	International Business Machines	S(partial)		A
07/08/10	National Oilwell VARCO, Inc.	S(partial)		A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
07/08/10	Pepsico, Inc.	S(partial)	X	A
07/08/10	State Street Corp.	S(partial)		A
07/08/10	Stericycle, Inc.	S(partial)	X	A
07/08/10	BP PLC Sponsored ADR	S	X	B
07/08/10	Colgate-Palmolive Co.	S(partial)	X	A
07/08/10	Johnson & Johnson	S(partial)	X	B
07/08/10	U.S. Bancorp (new)	S(partial)	X	A
07/08/10	Microsoft Corp.	S(partial)	X	A
09/21/10	Ishares MSCI Emrg. Mkt Index Fund	P		B
09/21/10	Chubb Corp.	P		A
09/21/10	Analog Devices	P		B
09/21/10	Exelon Corp.	P		A
09/21/10	Tortoise energy Infrastructure Corp.	P		B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/21/10	Wisdomtree Int'l Real Estate Index ETF	P		B
09/21/10	Diageo PLC ADR	S(partial)	X	A
09/21/10	Parker-Hannfin Corp.	S(partial)	X	B
09/21/10	Procter & Gamble Co.	S(partial)	X	A
09/21/10	Altria Group, Inc.	S(partial)	X	A
09/21/10	Philip Morris Int'l	S(partial)	X	A
09/21/10	Pepsico, Inc.	S(partial)	X	A
09/21/10	Stericycle, Inc.	S(partial)	X	A
09/21/10	Aetna, Inc.	S	X	B
09/21/10	Home Depot, Inc.	S(partial)	X	B
09/21/10	Union Pacific Corp.	S(partial)	X	B
09/21/10	Exxon Mobil Corp	S(partial)	X	A
09/21/10	Johnson & Johnson	S(partial)	X	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/21/10	Colgate Palmolive Co.	S(partial)	X	B
09/21/10	Microsoft Corp.	S(partial)	X	A
09/21/10	Yum Brands, Inc.	S(partial)	X	A
09/22/10	Franklin Int'l Small Cap Growth ADV	P		C
09/22/10	Absolute Strategies Fund Inst'l	P		C
09/22/10	Hussman Strategic Growth Fund	P		C
various	Dreyfus Gov't Cash Mgmt. Instl SHS	S(partial)		C

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
01/04/10	Montclair NJ Gen. Oblig.	S		B
03/04/10	Ishares Barclays Treasury Inflation Protected Fund	S	X	D
03/10/10	Pimco 1-5 Year US TIPS Index ETF	P		D
03/23/10	Hussman Strategic Growth Fund	P		B
03/23/10	Walthausen Small Cap Value Fund	P		B
03/23/10	Tortoise Energy Infrastructure Corp.	P		C
03/23/10	Leuthold Core Investment Fund Instl CL	S(partial)		A
03/23/10	AT&T, Inc.	S(partial)	X	C
03/23/10	Diageo PLc ADR	S		B
03/23/10	S & P North American Tech Software Index Fund	S(partial)	X	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
03/23/10	Ishares Russell 2000 Value Index Fund	S	X	D
03/23/10	Google, Inc. CL 'A'	S(partial)	X	A
03/24/10	Diamond Hill Long/Short Fund CL'I'	S	X	B
03/24/10	Vanguard High Yield Corp. Fund	S(partial)	X	B
03/26/10	Absolute Strategies Fund Inst'l	P		B
03/26/10	Franklin Int'l Small Cap Growth ADV	P		B
03/26/10	Frontegra Netols Small Cap Value Inst'l	P		B
07/15/10	Burlington Cty NJ OID	S		C
09/21/10	Frontegra Netols Small Cap Value Inst'l	P		A
09/21/10	Templeton Global Bond Fund	P		A
09/21/10	Walthausen Small Cap Value Fund	P		A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/21/10	Chevron Corp.	P		A
09/21/10	Chubb Corp	P		A
09/21/10	Expeditors Int'l of Washington, Inc	P		A
09/21/10	Analog Devices	P		A
09/21/10	Exelon Corp.	P		B
09/21/10	Tortoise Energy Infrastructure Corp.	P		A
09/21/10	Wisdomtree Int'l Real Estate Index ETF	P		B
09/21/10	Coca Cola Co.	S(partial)	X	A
09/21/10	General Electric Co.	S(partial)	X	A
09/21/10	Ishares MSCI Emg MKT Index Fund	S(partial)	X	A
09/21/10	S & P North American Tech Software Index Fund	S(partial)	X	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/21/10	Novartis AG ADR	S(partial)		A
09/21/10	Apple, Inc.	S(partial)	X	A
09/21/10	Emerson Electric	S(partial)	X	A
09/21/10	Express Scripts, Inc.	S(partial)	X	A
09/21/10	Hewlett-Packard	S(partial)	X	A
09/21/10	Procter & Gamble Co.	S(partial)	X	A
09/21/10	Royal Dutch Shell PLC ADR	S(partial)	X	A
09/21/10	Schlumberger LTD	S(partial)	X	A
09/21/10	Altria Group, Inc.	S(partial)	X	A
09/21/10	Apache Corp.	S(partial)	X	A
09/21/10	Philip Morris Int'l	S(partial)	X	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated
12/16/82, Fiduciary Trust Company, Boston, Ma.
Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
09/21/10	Leuthold Core Investment Fund Instl CL	S(partial)		B
09/21/10	AT&T, Inc. new	S(partial)	X	B
09/21/10	Abbott Labs	S(partial)	X	A
09/21/10	Exxon Mobil Corp.	S(partial)	X	B
09/21/10	Johnson & Johnson	S(partial)	X	B
09/24/10	Absolute Strategies Fund Inst'l	P		C
09/24/10	Franklin Int'l Small Cap Growth ADV	P		C
09/24/10	Hussman Strategic Growth Fund	P		C
10/07/10	Occidental Petroleum Corp.	P		A
10/07/10	3M Company	P		A
10/07/10	Vanguard Emrg MKT Index ETF	P		B

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
10/07/10	Wal-Mart Stores, Inc.	P		A
10/07/10	Abbott Labs	S(partial)	X	A
10/07/10	Apache Corp.	S(partial)	X	A
10/07/10	Apple, Inc.	S(partial)	X	A
10/07/10	Coca Cola Co.	S(partial)	X	A
10/07/10	Emerson Electric Co.	S(partial)	X	A
10/07/10	Express Scripts, Inc.	S	X	A
10/07/10	Exxon Mobil Corp.	S(partial)	X	A
10/07/10	General Electric Co.	S(partial)	X	A
10/07/10	Google, Inc. CL 'A'	S(partial)	X	A
10/07/10	Hewlett-Packard Co.	S(partial)	X	A

ETHICS IN GOVERNMENT ACT - FINANCIAL DISCLOSURE STATEMENT

RODNEY P. FRELINGHUYSEN

CONTINUATION SHEET - SCHEDULE IV

Identity : H. S. Robinson Trust U/A dated 12/16/82, Fiduciary Trust Company, Boston, Ma. Trustee (Spouse discretionary income beneficiary)

DATE	ASSET	TYPE OF TRANSACTION	CAPITAL GAIN OVER $200	AMOUNT OF TRANSACTION
10/07/10	S & P North American Tech Software Index Fund	S(partial)	X	A
10/07/10	Novartis AG ADR	S(partial)		A
10/07/10	Philip Morris Int'l	S(partial)	X	A
10/07/10	Procter & Gamble Co.	S(partial)		A
10/07/10	Royal dutch Shell PLC ADR	S(partial)	X	A
10/07/10	Schlumberger LTD	S(partial)	X	A
10/08/10	Templeton Global Bond Fund	P		A
Various	Dreyfus Govt. Cash Mgmt Instl SHS	P		C

Name Rodney P. Frelinghuysen | Paga 44 of 44

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Member, Advisory Board	El Primer Paso Ltd Morristown, NJ 07960

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Partiea To	Terms of Agreement
	NONE	

Use additional sheets if more apace ia required.

UNITED STATES HOUSE OF REPRESENTATIVES **CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**	**FORM A** For use by Members, officers, and employees	Page 1 of 7

LEGISLATIVE RESOURCE CENTER
2011 MAY 13 AM 10: 26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

Rush D. Holt — (Full Name)
202-225-5801 — (Daytime Telephone)

HAND DELIVERED (Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 12	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☐	☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☑	☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

SCHEDULE I - EARNED INCOME

Name Rush D. Holt

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
University Medical Center of Princeton	Spouse salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rush D. Holt

BLOCK A		BLOCK B	BLOCK C	BLOCK D	BLOCK E
Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e.,plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or		**Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	**Type of Income** Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	**Amount of Income** For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	**Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Community Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Congressional Credit Union	$1 - $1,000	INTEREST	$1 - $200	
SP	Fidelity 457(b)	$15,001 - $50,000			
SP	Fidelity IRA	$250,001 - $500,000			
	Froelich Land Trust, 80-acre family farm share, Livingston County, IL	$100,001 - $250,000	Farm income	$201 - $1,000	
SP	Lancefield Farm Share, 400-acre family farm, Amity,OR	$100,001 - $250,000	Farm income	$5,001 - $15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Rush D. Holt

SP	Prudential 403(b)	$15,001 - $50,000			
	Rental Cabins, AuSable Forks, NY	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	Rental House, Pennington, NJ	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	TIAA IRA	$15,001 - $50,000			
SP	TIAA/CREF 403(b)	$500,001 - $1,000,000			
	TIAA/CREF 403(b)	$250,001 - $500,000			
	Vanguard Windsor Retirement Fund 401(k)	$100,001 - $250,000			
JT	Wachovia/Wells Fargo	$100,001 - $250,000	INTEREST	$201 - $1,000	

SCHEDULE V - LIABILITIES

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
JT	Wells Fargo Bank	Oct 2009	Mortgage on property AuSable Forks, NY	$250,001 - $500,000
JT	Wachovia/Wells Fargo	March 2007	Home Equity Loan, Pennington, NJ	$250,001 - $500,000
JT	Wells Fargo Bank	March 2007	Mortgage on Property, Pennington, NJ	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Rush D. Holt

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Healthcare Reform	Jan 15-18	Ft. Lauderdale, FL	Y	Y	Y	1 day
Aspen Institute Congressional Program	Aug 16-20	Whistler, BC, Canada	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Rush D. Holt

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Trustees	Planned Parenthood of Mercer Area
Board of Trustees	Carnegie Institution for Science
Board of Trustees	Family and Childrens Services of Central New Jersey
Boad of Directors	Population Resource Center

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT	Form A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2011 MAY 13 PM 12:07
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)
MC

Name: Albio Sires **Daytime Telephone:** 202-225-7919

Filer Status	☑ Member of the U.S. House of Representatives	State: N.J District: 13	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 16, 2011)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	☑	☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	☐	☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	☑	☐
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	☐	☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	☐	☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	☐	☑
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? **If yes, complete and attach Schedule VII.**	☐	☑
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	☐	☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	☐	☑

Name	Albio Sires	Page 2 of 2

SCHEDULE I—EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000. See examples below.
Exclude: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	New Jersey State Pension	Legislative Pension	37,110
	West New York Board of Education	Spouse's Pension	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Albio Sires	Page ___ of ___

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2010	$2,000
	XYZ Magazine	Article	Aug. 13, 2010	$500
	N/A			

This page may be copied if more space is required.

Neme	Albio Sires	Page ___ of ___

SCHEDULE III—ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with e fair market value exceeding $1,000 et the end of the reporting period, end (b) any other reportable asset or sources of income which generated more than $200 in "unearned" income during the yeer.

Provide complete names of stocks and mutual funds (do not use ticker symbols.)

For **all IRAs** and other retirement plens (such as 401(k) plans) thet ere self-directed (*i.e.*, plens in which you have the power, *even if not exercised*, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement eccounts which ere not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.

For rental or other reel property held for investment, provide a complete address.

For an ownership interest in a privately-held business thet is not publicly treded, state the neme of the business, the neture of its ectivities, and its geographic location in Block A.

Exclude: Your personel residence, including second homes end vecetion homes (*unless* there was rental income during the reporting period); any deposits totaling $5,000 or less in a personal checking or saving accounts; and any financiel interest in, or income derived from, e federel retirement program, including the Thrift Savings Plan.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.

For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.

BLOCK B — Value of Asset

Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold during the reporting year and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply. For retirement accounts that do not allow you to choose specific investments *or* that generate tex-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. **Dividende, interest, end capital geine, even if reinvested, muet be disclosed ae income**. Check "None" if the asset generated no income during the reporting period.

BLOCK D — Amount of Income

For retirement accounts that do not allow you to choose specific investments *or* that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. **Dividends, intereat, end cepital geine, even if reinveeted, must be diaclosed ee income.** Check "None" if no income wes eerned or generated.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.

If only a portion of an esset is sold, pleese indicete as follows: (S) (partial) See below for example.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples: Mega Corp. Stock					X									X			X						X								S (partial)
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	Examples: 1st Bank of Paducah, KY Accounts						X									X										X						
	TD BANK			X										X							X											
	CONOCO Phillip				X										X								X									
	GeneAl Electric				X										X								X									
	PFizer			X											X								X									

For additional aaaata and unearned incoma, use next page.

SCHEDULE III—ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name: Albio Sires

Page 5 of 9

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g. Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Merryl Lynch Bank Dep. Prog.					X											X						X									
	Union Township (Municipal Bonds)				X												X						X									

This page may be copied if more space is required.

Name	Albio Siros	Page 6 of 9

SCHEDULE IV— TRANSACTIONS

Report any purchase, sale, or exchange transactions by you, your spouse, or dependent child during the reporting period of any security or real property held for investment that exceeded $1,000. Include transactions that resulted in a capital loss. Provide a brief description of any exchange transaction. Exclude transactions between you, your spouse or dependent children, or the purchase or sale of your personal residence, unless it generates rental income. **If only a portion of an asset is sold, please so indicate (i.e., "partial sale").** See example below.

Capital Gains — if a sales transaction resulted in a capital gain in excess of $200, check the "capital gains" box and disclose this income on Schedule III.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Check Box if Capital Gain Exceeded $200	Date (MO/DAY/YR) or Quarterly, Monthly, or Bi-weekly, if applicable	Amount of Transaction: A $1,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
SP	*Example:*	Mega Corporation Common Stock (partial sale)		X			10–12–10		X								
							N/A										

This page may be copied if more space is required.

Name	Albio Sires	Page 7 of 9

SCHEDULE V— LIABILITIES

Report liabilities of over $10,000 owed to any one creditor **at *any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability: A $10,001-$15,000	B $15,001-$50,000	C $50,001-$100,000	D $100,001-$250,000	E $250,001-$500,000	F $500,001-$1,000,000	G $1,000,001-$5,000,000	H $5,000,001-$25,000,000	I $25,000,001-$50,000,000	J Over $50,000,000
	Example: First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
			N/A										

SCHEDULE VI— GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $335 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $134 or less need not be added towards the $335 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$345
	N/A	

Use additional sheets if more space is required.

SCHEDULE VII — TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
			N/A				

This page may be copied if more space is required.

Name	Albio Sires	Page 9 of 9

SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
	N/A

SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Partiea To	Terms of Agreement
		N/A

Use additional sheets if more space is required.